APPLICATION FOR OPENING A DP ACCOUNT (For Corporates / Clearing Members only)



Sharekhan Limited (DP ID - IN 300513)
A 206, Phoenix House, Phoenix Mill Compound, Senapati Bapat Marg, Lower parel, Mumbai 400 013.
Tele: 91-22 5662 1111. Fax: 91-22 2498 2626, email: dpcall@sharekhan.com

DATE CLIENT-ID (TO BE FILLED BY D.P.)
I/We request you to open a depository account in my/our name as per the following details: (Please fill all the details in CAPITAL LETTERS ONLY) Type of account
BODY CORPORATE FI BANK FII TRUST OCB OTHERS (PLEASE SPECIFY)
Client Information
NAME OF CORPORATE (SOLE/FIRST HOLDER):
REGISTERED OFFICE ADDRESS:
CITY: PIN CODE:
COUNTRY NATIONALITY: TELEPHONE No. (RES): STD CODE
FAX: STD CODE NO SMS FACILITY: YES NO
MAPIN ID:
OCCUPATION: DATE OF BIRTH:
CORRESPONDENCE ADDRESS (IF DIFFERENT):
CITY: PIN CODE:
STATE: COUNTRY: NATIONALITY:
TELEPHONE No.: FAX: STD CODE
Joint Holders Details
SECOND HOLDER NAME:
NAME OF FATHER/HUSBAND:
ADDRESS:
CITY: PIN CODE: STATE: STATE:
COUNTRY NATIONALITY: TELEPHONE No.: STD CODE
FAX: STD CODE NO MOBILE: NO MOBILE:
MAPIN ID:
OCCUPATION: DATE OF BIRTH:

Joint Holders Details
THIRD HOLDER NAME:
NAME OF FATHER/HUSBAND:
ADDRESS:
CITY:
COUNTRY NATIONALITY: TELEPHONE No.:
FAX: STD CODE NO MOBILE: NO MOBILE:
MAPIN ID: EMAIL ID:
OCCUPATION: DATE OF BIRTH: d d m m y y y y
Address for communication /Corporate Benefits (Default option is Local Address) Local / Permanant Address Correspondence Address / Foreign Address
- Contraspondence Address / Toreign Address
In Case of FII's/OCBs/Others (as may be applicable)
FOREIGN ADDRESS:
RBI REFERENCE NO.: DATE: DATE: d d m m y y y y
SEBI REGISTRATION NO. (FOR FII's):
STANDING INSTRUCTIONS WE AUTHORISE YOU TO RECEIVE CREDITS AUTOMATICALLY INTO OUR ACCOUNT. YES NO
ACCOUNT TO BE OPERATED THROUGH POWER OF ATTORNEY (POA) YES NO
Bank Account Details
BANK NAME:
ADDRESS:
PIN CODE:
ACCOUNT NO: BRANCH:
ACCOUNT TYPE: SAVINGS CURRENT NRI OTHERS:
9-DIGIT CODE NUMBER OF THE BANK AND BRANCH APPEARING ON THE MICR CHEQUE ISSUED BY THE BANK:
Financial Details
P.A.N. NO IT CIRCLE / WARD / DISTRICT NO
SOLE/FIRST HOLDER
SECOND HOLDER
THIRD HOLDER
Clearing Member Details (to be filled up by Clearing Members only)
NAME OF STOCK EXCHANGE:
NAME OF CLEARING CORPORATION:
CLEARING MEMBER ID:
TRADE NAME:
CM-BP-ID (TO BE FILLED UP BY PARTICIPANT):

Photograph of Authorised Signatories

Sign across Photograph with Rubber Stamp Sign across Photograph with Rubber Stamp

Declaration

The rules and regulations of the depository and depository participants pertaining to an account which are in force now have been read by me/us and i/we have understood the same and i/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/we further agree that any false / misleading information given by me or suppression of any material fact will render my account liable for termination and further action.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories)

Sole/Frist Holder	Name	Signature(s) with Co. Rubber Stamp)
First Signatory		(1)
Second Signatory		(1)
Third Signatory		(1)♥
Other Holders Second Holder		\boxtimes
Third Holder		(2)

Mode of Operation for Sole/First Holder

(In case of Joint Holdings all the holders must sign.)

ANY ONE SINGLY		JOINTLY BY	AS PE	R RESOL	JTION							
OTHER (PLEASE SPE	CIFY): _											

Introduction (By an existing account holder / applicant's bank)							
By the applicant's bank or an existing account holder of I confirm the identity and address of the applicant(s)	NSDL - IN 300513						
Client Id:							
Name:							
	SIGNATURE OF INTRODUCER / SIGNATURE AND SEAL INCASE OF BANK (TO BE VERIFIED BY DP OFFICIAL)						
Notes: The names of the account holders should be exactly in the same order as mentioned on	the cartificates to be demotorialised						
 In case of additional signatures, separate annexures should be attached to the applica As per NSDL Circular No. NSDL/PI/2002/1319 dated August 8, 2002, following documents 	tion form.						
signatures and photographs, etc. 2) Introduction by an existing account holder or by the applicant's bank. 3) Proof of address of the corporate evidenced by the document registered with Reg	gistrar of Companies or acknowledged copy of Income Tax Return or Bank Statement or						
Magistrate or a Notary Public or a Special Executive Magistrate.	uages not contained in the 8th Schedule of the Constitution of India must be attested by a						
5. Strike off whichever is not applicable. AGREEMENT							
DULY SIGNED ON EACH PAGE. FIRST WITNESS ADDRESS & SIGNATURE (2ND WITNESS FROM SSKI)							
DULY SIGNED SCHEDULE A.							
For Office Use Only							
Branch Name:	Checked By:						
Branch Code:	Punch By:						
Int Ref. No.	Verified by :						
Branch	ЦО						
	Thurst Ctomp						
Inward Stamp	Inward Stamp						

Version 3.2



Sharekhan Limited - NSDL (IN 300513)

"Schedule A" effective from April 1, 2005

Client ID:		Corporate:	
Transaction type	Scheme A (TC110)	Scheme B (TC105)	Remarks
	Trading Client Code/Trading Application Number: {}		
Account Opening	Nil	Nil	Stamp paper charges applicable
Account Closing	Nil	Nil	
Annual Maintenance Charges	Rs. 1000 p.a (POA & Dig. Contract Notes Mandatory)	Rs.1000 p.a	
Sales - Through Sharekhan	Nil	0.03% of the Value of transaction (Min. Rs. 25/-)	
Purchases	Nil	Nil	
Brokerage	Minimum Brokerage of Rs. 20/ Per scrip settlement delivery (buy & sell)	N.A.	
Sales - Not through Sharekhan/ Offmarket transfer	0.03% of the value of transaction (Min.Rs.25)	0.03% of the Value of transaction (Min. Rs. 25/-)	on
Dematerialisation	Rs. 5 per certificate	Rs.5 per certificate	Minimum Rs.25 per request
Rematerialisation / Repurchase	Rs.35 per certificate	Rs.35 per certificate	
Custody	Nil	Nil	
Pledge Creation	0.02% of the value of the transaction (Min Rs.50)	0.02% of the value of the transaction (Min Rs.50)	
Freeze/De-freeze	Rs. 25	Rs.25	Per request
Stock Lending & Borrowing	0.02% of the value of the transaction (Min Rs.100)	0.02% of the value of the transaction (Min Rs.100)	Per request
Advance	Rs. 1000	Rs.1000	Advance which will be adjudted against billing
Any service not quoted about 3. Transaction statement: We Every extra Statement shathen every additional page.	ght to revise the "Schedule A" bove will be charged separately. I'll be sent as per NSDL requirer all be charged at Rs.10. If the newill be charged at the rate of I'll Service Tax and stamp paper licable till 31st March 2006.	ments at no extra cost. umber of pages exceeds 10 Rs.3 per page.	this will be binding on all.
(2)	(2)		3) 🖎
(Signature of 1st Holde	er) (Signature o	of 2nd Holder)	(Signature of 3rd Holder)

Note: Tariff is part of the agreement, please attach this with the agreement.

CHECKLIST

The DP form will not be accepted if the checklist is incomplete.

No	Туре	Branch /	Franchisee	Head Office			
		Yes	No	Yes	No		
	Resident Individual						
1	Proof of Identity of all holders						
	1st Holder						
	Voter ID □ Driving □ License □ Passport □ PAN □ MAPIN UID Card □						
	Identity card/document with applicant's Photo, issued by a Central/State Government						
	and its Departments □b) Statutory/Regulatory Authoritiesc) Public Sector Undertakings,□						
	d) Scheduled Commercial Banks, \square e) Public Financial Institutions, \square f) Professional						
	Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; ☐ g) Credit cards/						
	Debit cards issued by Banks □						
	2nd Holder						
	Voter ID□ Driving License □ Passport □ PAN□ MAPIN UID Card □						
	Identity card/docuntent with applicant's Photo, issued by						
	a) Central/State Government and its Departments, □ b) Statutory/Regulatory Authorities □						
	c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial						
	Institutions, f) Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.,						
	to their Members; g) Credit cards/Debit cards issued by Banks						
	3rd Holder						
	Voter ID □ Driving License □ Passport □ PAN □ MAPIN UID Card □ Identity card						
	document with applicant's Photo, issued by a) Central/State Government and its						
	Departments, b) Statutory / Regulatory Authoritiesc) Public Sector Undertakings, b) School Led Commercial Regulatory Authorities (Public Figure 1) Public Figure 1						
	d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Professional Region such as ICAL ICWAL ICSL Pay Council at a 45 their Mambayer Conditions and Council Counc						
	Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; □g) Credit cards/ Debit cards issued by Banks						
2	How is the identity of the client verified						
	Client visited the office ☐ Branch / Franchisee personnel met the client ☐						
3	Address proof of the first holder						
	1st Holder						
	Voter ID □ Driving License □ Passport □ Ration Card □ Telephone Bill □						
	Electricity Bill						
	Latest Bank statement ☐ Insurance Policy ☐ Flat Maintenance Bill ☐						
	Self-declaration by High Court & Supreme Court judges, giving the new address in respect						
	of their own accounts. Identity card/document with address, issued by						
	a) Central/State Government and its Departments, \square b) Statutory/Regulatory Authorities, \square						
	c) Public Sector Undertakings, □ d) Scheduled Commercial Banks, □						
	e) Public Financial Institutions f) Professional Bodies such as ICAI, ICWAI,						
	Bar Council etc., to their Members.□						
	2nd Holder						
	Voter ID□ Driving License□ Passport□ Ration Card □ Telephone Bill□						
	Electricity Bill Leave-License Purchase Agreement Bank Passbook Bank Passboo						
	Latest Bank statement ☐ Insurance Policy ☐ Flat Maintenance Bill ☐						
	Self-declaration by High Court & Supreme Court judges, giving the new address in respect						
	of their own accounts. Identity card/document with address, issued by						
	a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities, c) Statutory Authorities, c) Statutory/Regulatory Authorities, c) Statutory/Regu						
	c) Public Sector Undertakings, d) Scheduled Commercial Banks, d						
	e) Public Financial Institutions f) Professional Bodies such as ICAI, ICWAI, Bar						
	Council etc., to their Members. 3rd Holder						
	Voter ID □ Driving License □ Passport □ Ration Card □ Telephone Bill □						
	Electricity Bill Leave-License Purchase Agreement Bank Passbook						
	Latest Bank statement Insurance Policy Flat Maintenance Bill						
	Self-declaration by High Court & Supreme Court judges, giving the new address in respect						
	of their own accounts. Identity card/document with address, issued by						
	a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities,		I	I	I		

No	Туре	Branch / Franchisee		Head Office				
		Yes	No	Yes	No			
	c) Public Sector Undertakings,□ d) Scheduled Commercial Banks,□ e) Public Financial							
	Institutions ☐ f) Professional Bodies such as ICAI, ICWAI, Bar Council etc.,							
	to their Members.							
4	Does address proof match with the corresponding address given by client							
5	Has the address of the client verified by the branch / franchisee							
6	Signed Photograph of all holders							
7	Copy of Cheque / Cancelled Cheque							
8	All pages of the agreement duly signed by all holder							
9	Name, Address & Signature of witness on agreement							
10	Tariff sheet duly signed by the holder.							
11	Standing Instructions specified							
12	All the documents collected are verified with the original							
13	Verification stamp with signature, name & date on the documents collected							
14	Is the nominee details specified by the client.							
15	Nominee photograph duly signed by holder							
16	Name, Signature & Address of 2 Witnesses incase of Nominee							
17	Form is complete with all respects							
	Minor Account							
18	Signed Photograph of Guardian.							
19	Minor photograph signed by the guardian.							
20	Letter from the guardian stating the operation of the account on behalf of the minor.							
21	Guardian details duly filled up							
22	Proof of Identity of the guardian							
	NRI Account							
23	Copy of passport.							
24	Indian correspondence proof.							
25	Foreign correspondence proof.							
	HUF Account							
26	Signed Photograph of the Karta.							
27	Proof of Identity of the Karta.							
28	Copy of Pan Card.							
29	Undertaking letter signed by 2 coparceners.							
30	HUF Stamp is affixed wherever the client signs on the agreement.							
	Corporate Account							
31	Board Resolution on Company Letter Head							
32	Director's proof of identity							
33	Company PAN card							
34	Corresponding address proof should match with that written in the form							
35	Memorandum and Article of Association is required with Company Rubber Stamp							
	and Director Signature.							
36	Mode of operation mentioned							
37	Company stamp affixed wherever the director signs on the agreement.							
	Trust Account							
38	Bank Transaction Statement.							
39	Account can be opened in the name of the trust if it is a Public Trust only							
40	Account can be opened in the name of the trustees only							

All information & particulars in this application have been verified to the best of my knowledge & belief

Authorized Signatory