Espresso Financial Services Private Limited

Format for Investor Complaints Data to be displayed by Depository Participants (NSDL and CDSL) on their respective websites.

Data for every month ending – 31/10/2026

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the endof the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	00	00	00	00	00	00	00
2	SEBI (SCORES)	00	00	00	00	00	00	00
3	Depositories	00	00	00	00	00	00	00
4	Other Sources, ODR (ifany)	00	00	00	00	00	00	00
5	**Grand Total**	**00**	**00**	**00**	**00**	**00**	**00**	**00**

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints

SN	Month	Carried forward From previous month	Received	Resolved*	Pending**
1	**2**	**3**	**4**	**5**	**6**
1	April, 2025	00	00	00	00
2	May, 2025	00	00	00	00
3	June, 2025	00	00	00	00
4	July, 2025	00	00	00	00
5	Aug, 2025	00	00	00	00
6	Sept, 2025	00	00	00	00
7	Oct, 2025	00	00	00	00
8	Nov, 2025	00	00	00	00
9	Dec, 2025	00	00	00	00
10	Jan, 2026	00	00	00	00
11	Feb, 2026	00	00	00	00
12	March, 2026	00	00	00	00
13	April, 2026	00	00	00	00
14	May, 2026	00	00	00	00
16	June, 2026	00	00	00	00
17	July, 2026	00	00	00	00
18	Aug, 2026	00	00	00	00
19	Sept, 2026	00	00	00	00
20	Oct, 2026	00	00	00	00
	Grand Total	**00**	**02**	**02**	**00**

*Should include complaints of previous months resolved in the current month, ifany.

**Should include total complaints pending as on the last day of the month, if any.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2022-23	00	11	11	00
2	2023-24	00	09	09	00
3	2024-25	00	18	18	**00**
4	2025-26	00	00	00	**00**
5	2026-27	00	00	00	**-**
	Grand Total	**00**	**54**	**54**	**00**