

Espresso Financial Services Private Limited

Format for Investor Complaints Data to be displayed by Stockbrokers on their respective websites

Data for every month ending – 30/11/2025

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	00	00	00	00	00	00	00
2	SEBI (SCORE S 2.0)	00	00	00	00	00	00	00
3	Stock Exchanges	00	0	00	00	00	00	00
4	Other Sources, (if any)	00	00	00	00	00	00	00
5	Grand Total	00	00	00	00	00	00	00

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	May, 2024	01	31	31	01
2	June, 2024	01	11	11	01
3	July, 2024	01	59	59	01
4	Aug, 2024	01	38	38	01
5	Sept, 2024	01	17	10	08
6	Oct, 2024	08	12	17	03
7	Nov, 2024	03	05	05	03
8	Dec, 2024	03	05	08	00
9	Jan, 2025	00	01	01	00
10	Feb, 2025	00	03	03	00
11	Mar, 2025	00	02	00	02
12	April, 2025	02	01	03	00
13	May, 2025	00	00	00	00
14	June, 2025	00	00	00	00
15	July, 2025	00	01	01	00
16	Aug, 2025	00	01	01	00
17	Sept, 2025	00	00	00	00
18	Oct, 2025	00	00	00	00
19	Nov, 2025	00	00	00	00
	Grand Total	21	186	187	20

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved During the year	Pending at the end of the year
1	2021-22	01	131	131	01
2	2022-23	01	322	314	09
3	2023-24	09	539	546	02
4	2024-25	02	207	207	02
5	2025-26	02	05	05	-
	Grand Total	15	1204	1203	16