

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U99999MH1995PLC087498

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAEC55096H

(ii) (a) Name of the company

SHAREKHAN LIMITED

(b) Registered office address

The Ruby, 18th Floor, 29 Senapati Bapat Marg Dadar (West),  
MUMBAI  
Maharashtra  
400028  
India

(c) \*e-mail ID of the company

CO\*\*\*\*\*AN.

(d) \*Telephone number with STD code

02\*\*\*\*\*00

(e) Website

www.sharekhan.com

(iii) Date of Incorporation

20/04/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

L72400TG2017PLC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32, Financial District, N  
anakramguda, Serili ngampally NA

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K5	Financial and Credit leasing activities	29.7
2	K	Financial and insurance Service	K6	Fund Management Services	0.55
3	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	68.72
4	K	Financial and insurance Service	K8	Other financial activities	1.03

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	BNP PARIBAS SA	F00743	Holding	72.76
2	SHAREKHAN BNP PARIBAS FIN	U65920MH2004PLC149518	Subsidiary	100
3	SHAREKHAN COMMODITIES PF	U67120MH2000PTC127261	Subsidiary	100
4	WEALTHTIGER INVESTMENT AL	U65923MH2015PTC267398	Subsidiary	100

5	ESPRESSO FINANCIAL SERVICE	U65100MH2015PTC269036	Subsidiary	100
6	SHAREKHAN CONSULTANTS PI	U67190MH2000PTC127257	Subsidiary	100
7	SHAREKHAN.COM INDIA PRIVA	U80904MH2000PTC126954	Subsidiary	100

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	79,644,833	58,746,178	58,746,178	58,746,178
Total amount of equity shares (in Rupees)	796,448,330	587,461,780	587,461,780	587,461,780

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Rs. 10/- each				
Number of equity shares	79,644,833	58,746,178	58,746,178	58,746,178
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	796,448,330	587,461,780	587,461,780	587,461,780

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	10,355,167	0	0	0
Total amount of preference shares (in rupees)	103,551,670	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares of Rs. 10/- each				
Number of preference shares	10,355,167	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	103,551,670	0	0	0

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	0	58,746,178	58746178	587,461,780	587,461,780	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	58,746,178	58746178	587,461,780	587,461,780	
Preference shares						

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

**(ii)** Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☒ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor <div style="border: 1px solid black; width: 500px; height: 25px; margin-left: 10px;"></div>			
Transferor's Name	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>
	Surname	middle name	first name
Ledger Folio of Transferee <div style="border: 1px solid black; width: 500px; height: 25px; margin-left: 10px;"></div>			
Transferee's Name	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>	<div style="border: 1px solid black; width: 100%; height: 25px;"></div>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	0	500,000,000	500,000,000	0
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

20

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Commercial Papers	3,000	5,00,000	1,500,000,000	5,00,000	1,500,000,000
Commercial Papers	1,000	5,00,000	500,000,000	5,00,000	500,000,000
Commercial Papers	2,000	5,00,000	1,000,000,000	5,00,000	1,000,000,000
Commercial Papers	3,000	5,00,000	1,500,000,000	5,00,000	1,500,000,000

Commercial Papers	3,000	5,00,000	1,500,000,000	5,00,000	1,500,000,000
Commercial Papers	5,000	5,00,000	2,500,000,000	5,00,000	2,500,000,000
Commercial Papers	6,000	5,00,000	3,000,000,000	5,00,000	3,000,000,000
Commercial Papers	5,000	5,00,000	2,500,000,000	5,00,000	2,500,000,000
Commercial Papers	3,000	5,00,000	1,500,000,000	5,00,000	1,500,000,000
Commercial Papers	1,000	5,00,000	500,000,000	5,00,000	500,000,000
Commercial Papers	600	5,00,000	300,000,000	5,00,000	300,000,000
Commercial Papers	80	5,00,000	40,000,000	5,00,000	40,000,000
Commercial Papers	180	5,00,000	90,000,000	5,00,000	90,000,000
Commercial Papers	2,140	5,00,000	1,070,000,000	5,00,000	1,070,000,000
Commercial Papers	2,000	5,00,000	1,000,000,000	5,00,000	1,000,000,000
Commercial Papers	3,000	5,00,000	1,500,000,000	5,00,000	1,500,000,000
Commercial Papers	5,000	5,00,000	2,500,000,000	5,00,000	2,500,000,000
Commercial Papers	240	5,00,000	120,000,000	5,00,000	120,000,000
Commercial Papers	500	5,00,000	250,000,000	5,00,000	250,000,000
Commercial Papers	100	5,00,000	50,000,000	5,00,000	50,000,000
Total	45,840		22,920,000,000		22,920,000,000

## V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

### (i) Turnover

15,069,000,000

### (ii) Net worth of the Company

18,637,000,000

## VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	



	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	58,746,178	100	0	
10.	Others	0	0	0	
	<b>Total</b>	58,746,178	100	0	0

**Total number of shareholders (promoters)**

7

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	

	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	0	0	0	0

**Total number of shareholders (other than promoters)**

0

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

7

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	4	4	4	5	0	0
(i) Non-Independent	4	3	4	3	0	0

(ii) Independent	0	1	0	2	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	4	4	4	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Alagappan Selvalakshri	08717432	Director	0	
Arjun Mohan	09465905	Director	0	
Ashwani Kumar Sindhv	07555408	Additional director	0	
Franciska Maria Godeli	06869435	Director	0	
Jaideep Arora	00056252	Whole-time director	0	
Jean Christophe Marie	02561258	Whole-time director	0	
Jean Philippe Huguet	07539502	Director	0	
Parminder Varma	09492605	Whole-time director	0	
Stefan Groening	07657587	Whole-time director	0	
Jaideep Arora	AAMPA6954H	CEO	0	
Sumeet Chugh	AGJPC6642H	CFO	0	
Vijay Sakali	BLVPS3770A	Company Secretary	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year** 7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Arjun Mohan	09465905	Additional director	19/04/2023	Appointment
Hugues Noel Maisor	07675507	Director	08/06/2023	Cessation
Alagappan Selvalaks	08717432	Additional director	27/06/2023	Appointment
Ambareesh Murty	03279054	Director	07/08/2023	Cessation
Arjun Mohan	09465905	Director	29/09/2023	Change in designation
Alagappan Selvalaks	08717432	Director	29/09/2023	Change in designation
Ashwani Kumar Sinc	07555408	Additional director	30/10/2023	Appointment

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

3

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Extra-Ordinary General Meeting	20/06/2023	7	7	100
Extra-Ordinary General Meeting	07/09/2023	7	6	100
Annual General Meeting	29/09/2023	7	7	100

### B. BOARD MEETINGS

\*Number of meetings held

7

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	20/04/2023	9	9	100
2	26/05/2023	9	8	88.89
3	27/07/2023	9	7	77.78
4	07/09/2023	8	8	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
5	26/10/2023	8	7	87.5
6	11/12/2023	9	9	100
7	07/02/2023	9	8	88.89

### C. COMMITTEE MEETINGS

Number of meetings held

6

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	26/05/2023	3	3	100
2	Audit Committee	27/07/2023	3	3	100
3	Audit Committee	26/10/2023	2	2	100
4	Audit Committee	07/02/2023	3	3	100
5	Nomination & Remuneration Committee	07/02/2023	4	4	100
6	Corporate Social Responsibility Committee	26/05/2023	4	3	75

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	17/09/2024
								(Y/N/NA)
1	Alagappan Selvaraj	5	4	80	0	0	0	Yes
2	Arjun Mohan	7	7	100	5	5	100	Yes
3	Ashwani Kumar	2	2	100	2	2	100	Yes
4	Franciska Mar	7	7	100	6	6	100	Yes
5	Jaideep Arora	7	7	100	1	1	100	Yes
6	Jean Christophe	7	6	85.71	1	0	0	Yes
7	Jean Philippe	7	5	71.43	1	1	100	Yes

8	Parminder Var	7	7	100	0	0	0	Yes
9	Stefan Groenin	7	6	85.71	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	JAIDEEP ARORA	Whole Time Dire	48,290,125	0	0	0	48,290,125
2	PARMINDER VARM	Whole Time Dire	28,904,832	0	0	0	28,904,832
3	STEFAN GROENIN	Whole Time Dire	49,971,873	0	0	0	49,971,873
4	JEAN CHRISTOPH	Whole Time Dire	35,927,767	0	0	0	35,927,767
	Total		163,094,597	0	0	0	163,094,597

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	JAIDEEP ARORA	CEO	48,290,125	0	0	0	48,290,125
2	SUMEET CHUGH	CFO	8,896,537	0	0	0	8,896,537
3	VIJAY SAKALI	COMPANY SEC	4,271,319	0	0	0	4,271,319
	Total		61,457,981	0	0	0	61,457,981

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	AMBAREESH MUR	Independent Dir	0	0	0	600,000	600,000
2	ARJUN MOHAN	Independent Dir	0	0	0	1,200,000	1,200,000
3	ASHWANI SINDHW	Independent Dir	0	0	0	400,000	400,000
	Total		0	0	0	2,200,000	2,200,000

## XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- \* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

## XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

## XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

## XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

HIMANSHU KAMDAR

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

3030

### I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

## Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

To be digitally signed by

Director

STEFAN  
GROENING  
Digitally signed by  
STEFAN GROENING  
Date: 2024.11.15  
20:03:49 +0530'

DIN of the director

0\*6\*7\*8\*

To be digitally signed by

VIJAY  
HANUMAN  
TA SAKALI  
Digitally signed by  
VIJAY HANUMANTA  
SAKALI  
Date: 2024.11.15  
20:04:34 +0530'

☒ Company Secretary

☐ Company secretary in practice

Membership number

1\*7\*3

Certificate of practice number

### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

### List of attachments

SKL List of shareholders 2023-24.pdf  
MGT-8\_SKL\_2023-24.pdf  
SKL- SBO declaration.pdf  
SKL-Note on Attendance of the Directors\_2  
CP- additional disclosures.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



### Clarification Note

**(v) Securities (other than shares and debentures)**

**22**

Since the e-form MGT-7 is only accepting maximum 20 entries in the field “(v) Securities (other than shares and debentures)”, and the Company having a total of 22 entries in this regard, the additional 2 entries are being presented below and attached as an “other attachment” to the e-form MGT-7. Answer to the above filed entered as a Numerical value in the e-form should be read accordingly.

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Commercial Papers	100	5,00,000	50,000,000	5,00,000	50,000,000
Commercial Papers	60	5,00,000	30,000,000	5,00,000	30,000,000
<b>Total</b>	<b>46,000</b>	<b>-</b>	<b>23,000,000,000</b>		<b>23,000,000,000</b>

# Rathi & Associates

## COMPANY SECRETARIES

A-303, Prathamesh, 3rd Floor, Raghuvanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.  
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of the Companies  
(Management and Administration) Rules, 2014]

### CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the register, records, and books and papers of **Sharekhan Limited** ("the Company"), as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup> March 2024. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with provisions of the Act & Rules made thereunder in respect of:
- 1) its status under the Act;
  - 2) maintenance of registers/ records and making entries therein within the time prescribed therefor;
  - 3) filing of forms and returns as stated in the annual return, with the Registrar of Companies were within the prescribed time;
  - 4) calling/ convening/ holding meetings of Board of Directors, Audit Committee, Nomination and Remuneration Committee, Corporate Social Responsibility Committee and the meetings of the members of the Company on dates/ due date(s) as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/ registers maintained for the purpose and the same have been signed;
  - 5) contracts/ arrangements with related parties as specified in Section 188 of the Act;
  - 6) issue of Non-Convertible Debentures on private placement basis, up to an amount not exceeding Rs. 2,500,00,00,000/- (Rupees Two Thousand Five Hundred Crores Only) in one or more tranches, to eligible investors/ institutions by way of Special



Resolution passed by the Shareholders of the Company in their Extra-Ordinary General Meeting held on 7<sup>th</sup> September 2023. Subsequently, the Operations Committee of the Board, through its circular resolution passed on October 10, 2023, has allotted 500 (Five Hundred) senior, rated, unlisted, unsecured, redeemable, Non-Convertible Debentures having face value of Rs.10,00,000/- (Rupees Ten Lakhs) each aggregating Rs. 50,00,00,000/- (Rupees Fifty Crores Only) to Holding Company i.e. BNP Paribas SA, on private placement basis.

Subsequently, redemption of the said senior, rated, unlisted, unsecured, redeemable, Non-Convertible Debentures issued to Holding Company i.e. BNP Paribas SA;

- 7) signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub - sections (3) and (5) thereof;
- 8) constitution, appointment, re-appointment, and disclosures of the Directors along with disclosures of Key Managerial Personnel and remuneration paid to them;
- 9) borrowings from banks/ financial institutions/ others and creation, modification and satisfaction of charges in that respect and unsecured borrowings from others by way of issue of commercial papers;
- 10) Investment made and loan given as per the provisions of Section 186 of the Act;
- 11) alteration of the provisions of Articles of Association of the Company.

C. during the aforesaid financial year, the Company was not required to comply with provisions of the Act & Rules made thereunder in respect of:

- 1) filing of forms and returns with the Regional Director, Central Government, the Tribunal, Court and other authorities;
- 2) resolutions passed by postal ballot;
- 3) closure of Register of Members / Security holders;
- 4) advances/ loans to its directors and/or persons or firms or companies referred in Section 185 of the Act;
- 5) transfer or transmission or buy back of securities/ redemption of preference shares / alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
- 6) keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
- 7) declaration/payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with provisions of Section 125 of the Companies Act;
- 8) transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
- 9) retirement/ filling up of casual vacancies of the Directors and appointment/ filling up of casual vacancies of Key Managerial Personnel;
- 10) appointment/ re-appointment and filling up casual vacancies of auditors as per the





provisions of Section 139 of the Act;

- 11) approvals required to be taken from the Central Government, Tribunal, Regional Director, Court or such other authorities under the various provisions of the Act;
- 12) acceptance/ renewal/ repayment of deposits;
- 13) borrowings from its directors, members, public financial institutions;
- 14) guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act;
- 15) alteration of the provisions of the Memorandum of Association of the Company.



Date: 13<sup>th</sup> November, 2024  
Place: Mumbai  
UDIN: F005171F002111671  
Peer Review Cer. No.: 668/2020

For RATHI & ASSOCIATES  
COMPANY SECRETARIES

HIMANSHU S. KAMDAR  
PARTNER  
FCS: 5171  
COP: 3030

# Sharekhan

by BNP PARIBAS

## List of Shareholders of Sharekhan Limited as on March 31, 2024:

ISIN CODE: INE211H01010

Sr. No.	Name of Shareholders	DP ID	Client ID	Address	No. of Equity shares
1	BNP Paribas SA	IN301799	10069523	16 Boulevard des Italiens – 75009 Paris – France	4,27,46,033
2	Human Value Developers Private Limited	IN300513	14140799	The Ruby, 18 <sup>th</sup> Floor, 29 Senapati Bapat Marg, Dadar (West) Mumbai 400028, Maharashtra, India	1,60,00,140
3	BNP Paribas Securities India Private Limited (Nominee of BNP Paribas SA)	IN300360	10778789	8 <sup>th</sup> floor, 1 North Avenue, Maker Maxity Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra, India	1
4	Baroda BNP Paribas Asset Management India Private Limited (Nominee of BNP Paribas SA)	IN301799	10075990	Parinee Crescenzo, Office No. 201(A), 2 <sup>nd</sup> Floor, Plot no. C-38 and C-39, G Block, Bandra Kurla Complex, Bandra (East), Mumbai, 400051, Maharashtra, India	1
5	Antin Participation 5 (Nominee of BNP Paribas SA)	IN301799	10087826	1 Boulevard Haussmann – 75009, Paris, France	1
6	BNP Paribas India Holding Private Limited (Nominee of BNP Paribas SA)	IN300513	87701828	Office No. 71-B Seventh Floor, 1 North Avenue, Maker Maxity, Bandra-Kurla Complex, Bandra (E), Mumbai 400051, Maharashtra, India	1
7	BNP Paribas India Solutions Private Limited (Nominee of BNP Paribas SA)	IN300513	88589859	Block B3, 9 <sup>th</sup> Floor, Nirlon Knowledge Park, Village Pahadi, Goregaon East Mumbai 400063, Maharashtra, India	1
<b>Total</b>					<b>5,87,46,178</b>

For **SHAREKHAN LIMITED**



**Vijay Sakali**  
**Company Secretary**  
**CS Membership No.: F11793**  
**Address:** 607/Bldg. No. 3, N.G. Regency,  
Near Pipeline Road, Balkum, Thane (West) - 400 608

**Date:** May 21, 2024  
**Place:** Mumbai



Sharekhan Ltd.

Registered Office: The Ruby, 18<sup>th</sup> Floor, 29, Senapati Bapat Marg, Dadar (West), Mumbai - 400028 Tel: 022-67502000 Fax: 022-24327343  
Administrative Office: 10<sup>th</sup> Floor, Gigaplex Building No.9, MIDC, Plot No.1 I.T.5, Airoli Knowledge Park Road, TTC Industrial Airoli, Navi Mumbai-400 708, Maharashtra, INDIA. Tel: 022 – 61150000, Fax: 022-61169699. CIN No. U99999MH1995PLC087498. Compliance Officer: Ms. Binkle R. Oza;  
Email id: complianceofficer@sharekhan.com; Tel: 022 - 62263303

Sharekhan Ltd.: SEBI Regn. Nos.: BSE / NSE / (CASH / F&O / CD) / MCX - Commodity: INZ000171337; BSE – 748, NSE – 10733, MCX – 56125;  
DP: NSDL/CDSL-IN-DP-365-2018; PMS: INP000005786; RA: INH000005183 Mutual Fund: ARN 20609 (date of initial registration: 03/07/2004, and valid till 02/07/2026); IRDAI Registered Corporate Agent (Composite) License No. CA0950, valid till June 13, 2027. For any complaints email at igc@sharekhan.com  
Disclaimer: Client should read the Risk Disclosure Document issued by SEBI & relevant exchanges and the T&C on www.sharekhan.com before investing.

## **Declaration pertaining to Details of Designated Person as per sub-rule 4 of Rule 9 of the Companies (Management and Administration) Rules, 2014:**

Pursuant to sub-rule 4 of Rule 9 of the Companies (Management and Administration) Rules, 2014 ("the rules"), the Company is required to designate a person who shall be responsible for furnishing and extending co-operation for providing, information to the Registrar of Companies or any such other officer authorized by the Ministry of Corporate Affairs with respect to beneficial interest in shares of the Company ("Designated Person"). Further, pursuant to sub-rule 7 of Rule 9 of the said Rules, every Company shall inform the details of the Designated person in Annual Return.

In accordance with Rule 6(i) of the aforesaid Rules and the resolution passed by Board of Directors- at its meeting held on October 30, 2024, the Company has appointed Whole Time Director/ Chief Executive Officer/ Chief Financial Officer/ Company Secretary of the Company as a designated person under aforesaid regulations.

For **SHAREKHAN LIMITED**

  
**Vijay Sakali**  
Company Secretary  
CS Membership No.: F11793



**Date:** - November 08, 2024

**Place:** - Navi Mumbai



Sharekhan Ltd.

Registered Office: The Ruby, 18th Floor, 29, Senapati Bapat Marg, Dadar (West), Mumbai - 400028 Tel: 022-67502000 Fax: 022-24327343

Administrative Office: 10th Floor, Gigaplex Building No.9, MIDC, Plot No.1 I.T.5, Airoli Knowledge Park Road, TTC Industrial Airoli, Navi Mumbai-400 708, Maharashtra, INDIA. Tel: 022 - 61150000, Fax: 022-61169699. CIN No. U99999MH1995PLC087498. Compliance Officer: Ms. Binkle R. Oza;

Email id: [complianceofficer@sharekhan.com](mailto:complianceofficer@sharekhan.com); Tel: 022 - 62263303

Sharekhan Ltd.: SEBI Regn. Nos.: BSE / NSE / (CASH / F&O / CD) / MCX - Commodity: INZ000171337; BSE - 748, NSE - 10733, MCX -56125;

DP: NSDL/CDSL-IN-DP-365-2018; PMS: INP000005786; RA: INH000006183 Mutual Fund: ARN 20669 (date of initial registration: 03/07/2004, and valid till 02/07/2026); IRDAI Registered Corporate Agent (Composite) License No. CA0950, valid till June 13, 2027. For any complaints email at [igc@sharekhan.com](mailto:igc@sharekhan.com)

Disclaimer: Client should read the Risk Disclosure Document Issued by SEBI & relevant exchanges and the T&C on [www.sharekhan.com](http://www.sharekhan.com) before investing.

## CLARIFICATION NOTE

### IX. Meetings of Members/Class of Members/Board/Committees of the Board of Directors

#### Point No. D i.e. Additional details on Attendance of Directors

1. Mr. Hugues MAISONNIER (DIN: 07675507) resigned to be as Directors w.e.f 08/06/2023 and Mr. Ambareesh Murty (DIN: 03279054), ceased to be as Directors due to his untimely demise w.e.f. 07/08/2023.
2. Attendance details of Mr. Ambareesh Murty (DIN: 03279054), and Mr. Hugues MAISONNIER (DIN: 07675507) are given below as their names are not prefilled in the IX(D) of the form.

Sl. No	Name of the Director	Board of Meetings			Committee Meetings			Whether attended last AGM held on
								17/09/2024
		No of Meetings which director was entitled to attend	No. of Meeting attended	% of attendance	No of Meeting held	No. of Meeting attended	% of attendance	(Y/N/NA)
1	Mr. Hugues MAISONNIER	2	2	100	NA	0	0	NA
2	Mr. Ambareesh Murty	3	3	100	3	3	100	NA

**MINISTRY OF CORPORATE AFFAIRS**  
**RECEIPT**  
**G.A.R.7**

**SRN :** N16574816

**Service Request Date :** 15/11/2024

**Payment made into :** ICICI Bank

**Received From :**

**Name :** VIJAY HANUMANTA SAKALI

**Address :** FLAT 103, SAI SIDDHI APARTMENT, OPP. BALKUM FIRE  
BRIGADE, NEAR REWALI TALAW, KAPURBAWADI THANE (W)  
THANE, Maharashtra  
India - 400607

**Entity on whose behalf money is paid**

**CIN:** U99999MH1995PLC087498

**Name :** SHAREKHAN LIMITED

**Address :** The Ruby, 18th Floor, 29 Senapati Bapat Marg Dadar (West),  
  
MUMBAI, Maharashtra  
India - 400028

**Full Particulars of Remittance**

**Service Type:** eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2024	Normal	600.00
<b>Total</b>		<b>600.00</b>

**Mode of Payment:** Credit Card- ICICI Bank

**Received Payment Rupees:** Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)