

Format for Investor Complaints Data to be displayed by Stockbrokers on their respective websites.

Data for every month ending – <u>31/01/2025</u>

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SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pendingor more than 3months	
1	2	3	4	5	6	7		8
1	Directly from Investors	6	61	67	59	8	0	3
2	SEBI (SCORES)	4	9	13	12	1	0	6
3	Stock Exchanges	5	21	26	24	2	0	12
4	Other Sources, ODR (if any)	3	5	8	7	1	0	12
5	Grand Total	18	96	114	102	12	0	6

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



Trend of monthly disposal of complaints

Sr.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	July, 2024	19	151	126	44
2	Aug, 2024	44	115	134	25
3	Sept, 2024	25	123	104	44
4	Oct, 2024	44	108	136	16
5	Nov, 2024	16	91	86	21
6	Dec, 2024	21	64	67	18
7	Jan, 2025	18	96	102	12
	Grand Total	187	748	755	180

 $\ast Should$ include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

Trend of annua	l disposal o	<u>f complaints</u>

SN	Year	Carried forward	Received during	Resolved	Pending at
		from previous	the year	during the year	the end of the
		year			year
1	2020-21	1	448	445	4
2	2021-22	4	4208	3850	362
3	2022-23	362	3302	3564	100
4	2023-24	100	1398	1473	25
5	2024-25	25	1564	1577	-
	Grand Total	492	10920	10909	503